

## The Modeling Implementation Of A Safety Management System On Container Ships To Improve Indonesian Maritime Affairs

Honny Fiva Akira Sembiring<sup>1</sup>, Willy Arafah<sup>2</sup>, Prasadja Ricardianto<sup>1</sup>, Endri Endri<sup>3,\*</sup>

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### ABSTRACT

This research aims to identify and reveal the influence of factors that influence the implementation of safety management systems on ships aimed at ship crews and entrepreneurs and their impact on ships, crew, and cargo in the Indonesian Maritime world through a survey of 32 respondents representing officers, engineers, DPAs, as well as shipping entrepreneurs and ship data processing using NVivo 12 software. The research results show that two factors influence the implementation of the container safety management system, namely technical factors and non-technical factors. The order is (1) technical factors of the ship consisting of seaworthiness, safety equipment, stability (loading), and navigation systems, (2) non-technical factors, namely human factors (leadership model, crew competence, experience, psychology, crew training ship, and HR development) and company factors (rules and procedures, management capabilities, DPA). There are exciting findings about the positive relationship of the safety management system with the ship's crew. Based on these results, ship crews, companies, and international regulations have reasons to offer solutions for implementing the safety management system on board ships.

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### 1. Introduction.

Maritime safety is a critical issue for Indonesia. In Indonesia, studies on marine safety are mainly carried out by state management institutions responsible for safety, especially for policies and legislation, namely Law Number 17 concerning Shipping 2008, concerning safety management systems on ships. Meanwhile, in the national context, safety rules are also regulated in Minister of Transportation Regulation Number PM 45 of 2012 concerning Ship Safety Management 2012, which contains policies and procedures for implementing company and ship safety management systems and Regulation of the Minister of Transportation of the Republic of Indonesia Number PM 61 of 2019 concerning the Seaworthiness of Indonesian Flag High-Speed Passenger Ships which contains safety. For this reason,

IMO has established several regulations in the field of maritime transportation as standards to ensure ship safety (ISM Code), the safety of human lives at sea SOLAS 1974, and even marine marine MARPOL 1973/78 (Marine Pollution). In the context of international law. Likewise, with establishing conventions as standardization regarding the protection and safety of maritime transportation, STCW Manila Amendment 2010, MARPOL 1973/78 (Marine Pollution), LLC 1966, COLLREG 1972 (International Regulations for Preventing Collisions at Sea).

Apart from that, the professional organization appointed by IMO determines international conventions responsible for ship safety, namely the ISM Code and SOLAS, which discuss safety policies and rules set by international bodies that must be obeyed by all ships and companies so that these policies run smoothly. In terms of safety management, studies are about building a safety culture and awareness and complying with the rules (Rosnani, 2023; Kartoglu et al., 2023; Altinpinar & Başar, 2022; Naji et al., 2021; Andrei et al., 2020; Churrua et al., 2021; Gopala & Mokhtar, 2023; Barata et al., 2024) which has been determined to be an essential factor in managing the current

<sup>1</sup>Institute of Transportation and Logistics Trisakti, Jakarta, Indonesia.

<sup>2</sup>Universitas Trisakti, Jakarta, Indonesia.

<sup>3</sup>Universitas Mercu Buana, Jakarta, Indonesia.

\*Corresponding author: Endri Endri. E-mail Address: [en-dri@mercubuana.ac.id](mailto:en-dri@mercubuana.ac.id).

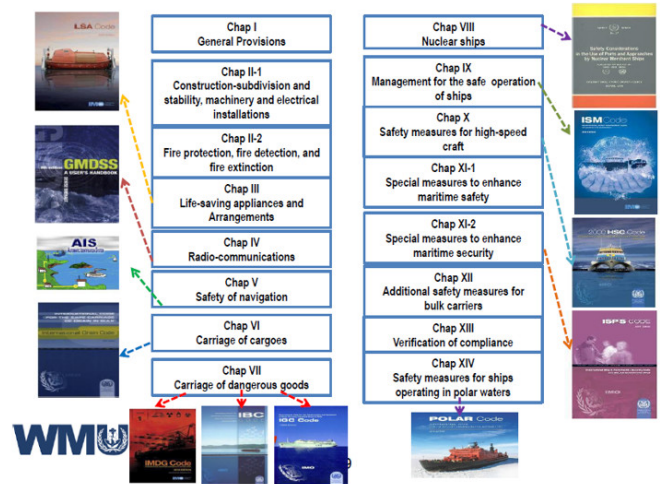
maritime safety system. Sea transportation, especially container transportation, is now the backbone of international trade. Most goods traded throughout the world are transported by container ships (Garrido et al., 2020; Tran et al., 2022; Wang et al., 2020; Rahmanita et al., 2023) making it an essential aspect of the global economy. Ship safety statistics and surveys show that compliance with the ISM Code (Li et al., 2022; Wang et al., 2023; Gu et al., 2023) and SOLAS (Joseph & Dalaklis, 2021; Eriksson & Gregory, 2022; Melnyk et al., 2022; Ricardianto et al., 2021) has worsened in recent years due to accidents, deaths, and excessive marine pollution.

## 2. Literature Review and Research Line of Thought.

Goerlandt et al. (2022) stated that SMS is an organizational instrument used to develop, plan, measure, analyze, and monitor the overall safety performance of an organization and guide decision-making when selecting safety functions. Li and Guldenmund (2018) reveal that SMS is caused by accidents and incidents and ways to investigate accidents or dangerous situations and explain the mechanisms causing accidents that can be developed. Accidents and incidents will also occur due to several factors, including fatigue at work. This study also shows that several factors influence incidents that occur on ships. Uflaz et al. (2022) revealed that the ISM Code establishes safety standards for the safe management and operation of ships and various procedures and formalities to improve safety at the operational level on board ships. Mok et al. (2023) stated that one of the factors causing accidents refers to Chapters 5 and 6 of the ISM Code, namely Human Factors and the Responsibility and Authority of the Master. Chuasanga and Victoria (2021) stated that the ISM Code is an SMS standard for the safe operation of ships and the prevention of marine pollution. It aims to ensure maritime safety, prevent accidents and casualties, and prevent damage to the environment and ships. Please note that SOLAS is the primary parent that plays a vital role in the ISM Code. ISM Code is issued from the SOLAS chapter. SOLAS AMENDMENT 2008 and 2009 emphasize that the International Convention for the Safety of Passengers at Sea is the main agreement/convention that protects the safety of commercial ships. The first version was published in 1914 after the sinking of the RMS Titanic. Regarding SOLAS 1974, this research strongly supports several studies regarding compliance with the SOLAS Convention through a series of activities designed to improve national operational and technical capabilities (Djunaidi et al., 2021; Eriksson & Gregory, 2022; Melnyk et al., 2022; Wahyuni et al., 2022). Guevara and Dalaklis (2021) revealed fourteen codes related to the SOLAS convention in the form of a chart considering certain aspects of ship safety.

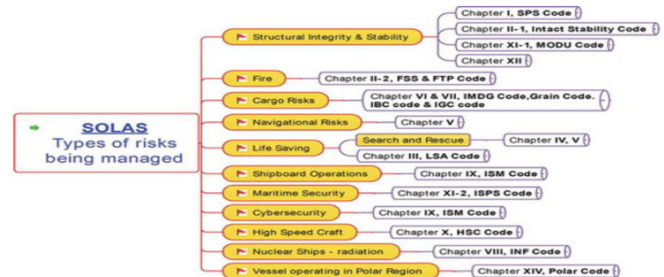
Joseph and Dalaklis (2021) explain several editions of SOLAS that have been described. SOLAS also has types of managing risks discussed in the 1974 SOLAS Convention, which can be grouped into the following (non-exhaustive) list of eleven different (but interrelated) types: Classification of risks managed in SOLAS 1974 and associated major risk mitigation chapters. So, apart from the core variables, the author will explain the operational definition of risk management.

Figure 1



Source: Guevara and Dalaklis (2021).

Figure 2

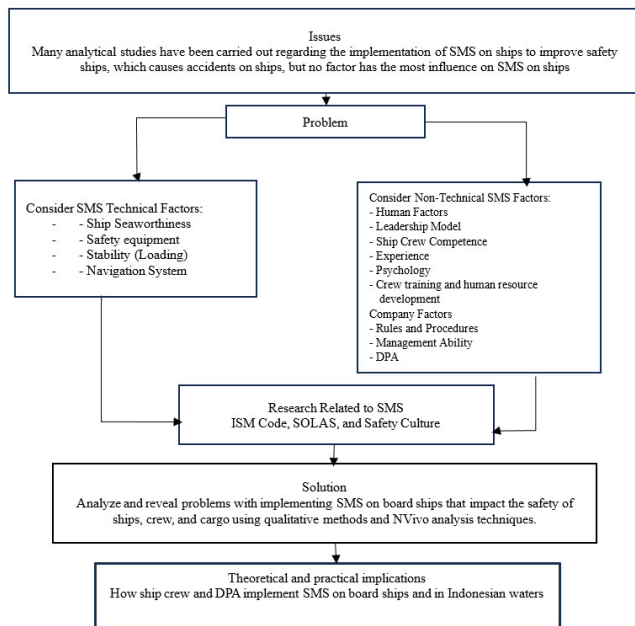


Source: Joseph and Dalaklis (2021).

## 3. Methodology.

To achieve the research objectives that have been set, the author uses qualitative research methods. In the qualitative research method, the author chose the in-depth expert interview technique (in-depth interview) as the primary method with a total of 32 informants consisting of (Master et al. (Designated Person Ashore), ship operational managers, shipping entrepreneurs, marine academics, and marine inspectors. Qualitative research includes document research, references, and selecting relevant typical studies, followed by determining the research model and designing a preliminary survey with a list of questions. After determining the research objectives, the factors influencing the implementation of qualitative research are then determined through a literature review, references, and selection of relevant representative studies, followed by creating a research model and, finally, designing a pilot study using in-depth interviews. After determining the research objectives, the factors that will influence the implementation of the ship safety management system will be determined next. The author first summarizes previous theories and research regarding ship safety and safety management systems to achieve this goal. The primary data sources used are scientific articles, research papers, book chapters, book editions, international scientific jour-

Figure 3



Source: Authors.

nals, indicators, and institutional frameworks for implementing safety management systems on ships, especially in Indonesian waters.

From the results of these steps, the author identifies factors that influence implementing a safety management system. The factors that cause problems are technical and non-technical factors. In this case, technical factors are the ship's seaworthiness, safety equipment, stability (loading), and navigation systems. Non-technical factors (human factors), namely management model, crew competence, experience, psychology, crew training, and human resource development. Company factors, namely rules and procedures, management capabilities, and DPA. This process results in a final survey with a complete series of questions. In-depth interviews were conducted with the Master, Officer, Engineer, and DPA on board the ship in Indonesian waters. The survey period is from December to March. There are 15 questions related to ship safety along with ISM Code and SOLAS regulations. After conducting interviews, the data was processed using NVivo 1 software. Then, the author identified themes and sub-themes from each node's coding project map images in Nvivo. The results are a model to evaluate the impact of technical and non-technical factors in implementing the safety management system on board the ship.

## 4. Results.

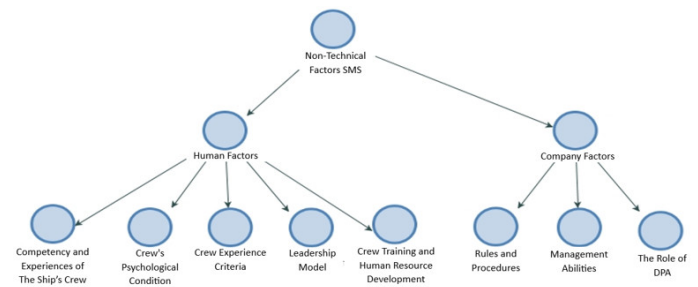
Based on the results of data processing in the NVivo12 software, it shows that of the 32 respondents: 3 Masters, 3 Chief Officers, 3 Second Officers, 3 Third Officers, 3 Chief Engineers, 3 First Engineers, 3 Second Engineers, 3 Third Engineers, 2 DPAs, 2 Marine Inspectors, 2, Marine Academics, 2 Shipping

Entrepreneurs indicate that the dominant non-technical factor in this research is the human factor.

### 4.1. Consideration of Non-Technical Factors.

The following is a general description of the results of coding data analysis for Non-Technical Factors related to the implementation of SMS on board ships:

Figure 4: Data Visualization Project Map General Code Non-Technical Factors.



Source: Authors.

### 4.2. Consideration of SMS Technical Factors.

The following is a general description of the results of coding Technical Factors related to the implementation of SMS on board ships:

Figure 5: Data Visualization Project Map General Code Technical Factors.



Source: Authors.

### 4.3. Increased SMS implementation on board ships.

The following is Table 1 thematic analyses that identify themes and sub-themes from the coding project map image in Nvivo 12 for the node for improving SMS implementation on board:





psychological needs and the need to carry out training on board the ship, which involves all parties.

## Conclusions.

Through research topics, the author has found that SMS implementation on ships must comply with the ISM Code and SOLAS conventions, which can affect the safety of ships, crew, and cargo. As for SMS implementation issues, it is essential to identify weaknesses and potential risks that must be addressed. This research also aims to provide SMS solutions that can be implemented on ships to improve safety, crew, and cargo. The proposed solution is expected to overcome the problems identified in the current SMS implementation and positively impact improving the safety system on ships. The relationship between the ship's technical and non-technical aspects of the implemented SMS is essential. Both technical aspects include the provision of international conventions such as SOLAS and ISM Code.

I recommend increased training and education for crew members on using and implementing new SMS solutions. This includes training on using new technology tools, safety procedures, and response in emergencies and implementing ongoing evaluation and monitoring programs to ensure the effectiveness and reliability of implemented SMS solutions. This can involve regular audits, data collection, and system performance analysis. It recommends safety culture awareness for all ship crew, the development of procedural guidance carried out by the Company, which the DPA directly monitors, and the Master's commitment to implementing SMS on the ship. Future researchers are expected to examine more sources or references related to the object.

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